

# Modeling concentration polarization and fouling layers in cross-flow ultrafiltration

Gun Woo Park and Gerhard Nägele

(g.park@fz-juelich.de)

*Institute of Biological Information Processing (IBI-4)*

*Forschungszentrum Jülich*

25th ICTAM 2020+1, Milano (Virtual), Italy

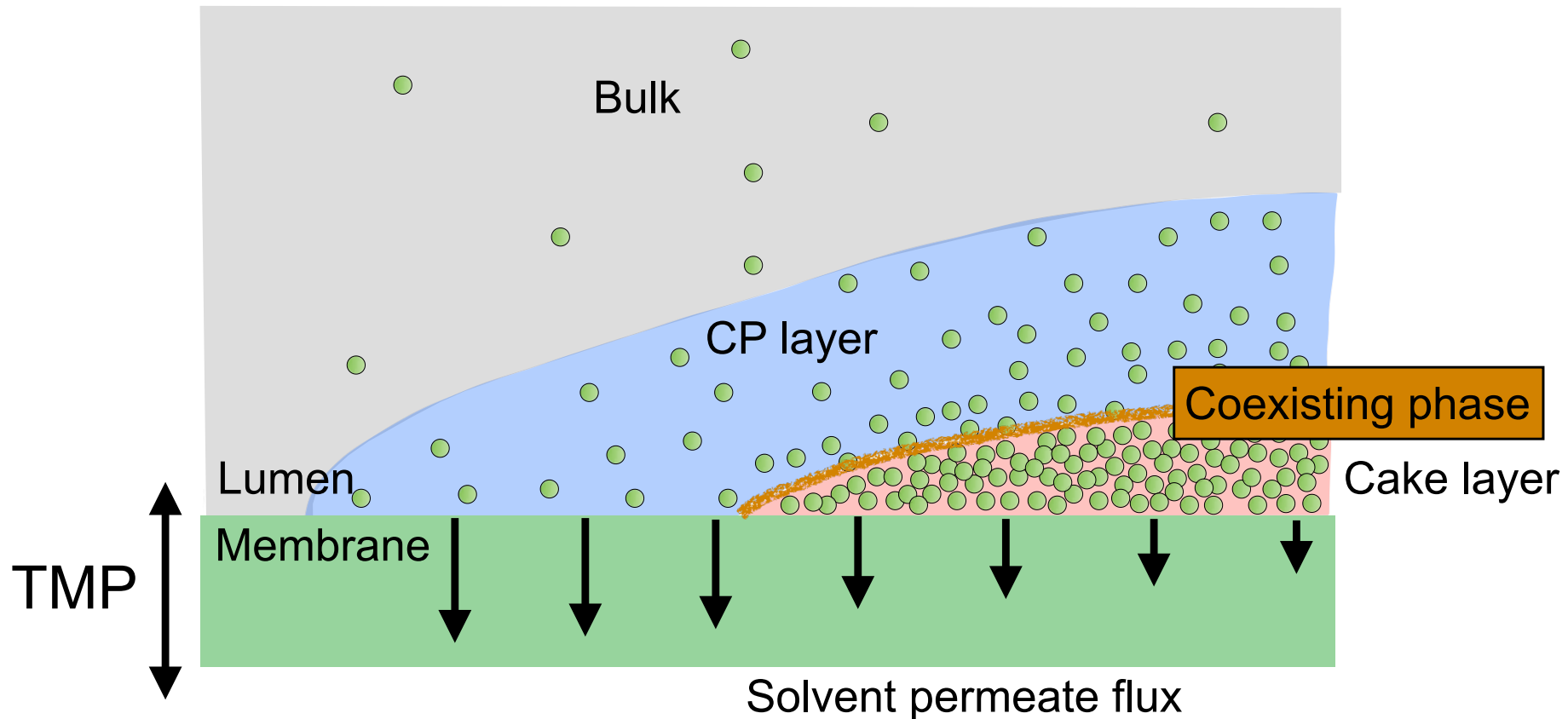
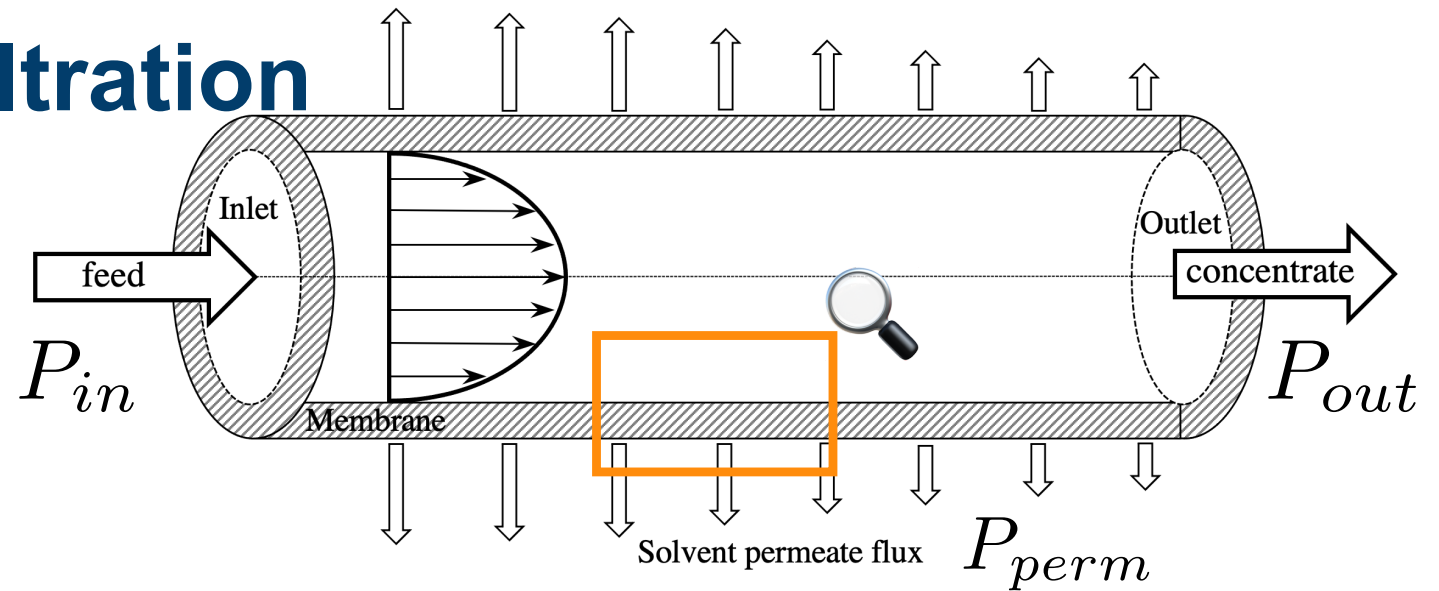


SFB985: Functional microgel and microgel system

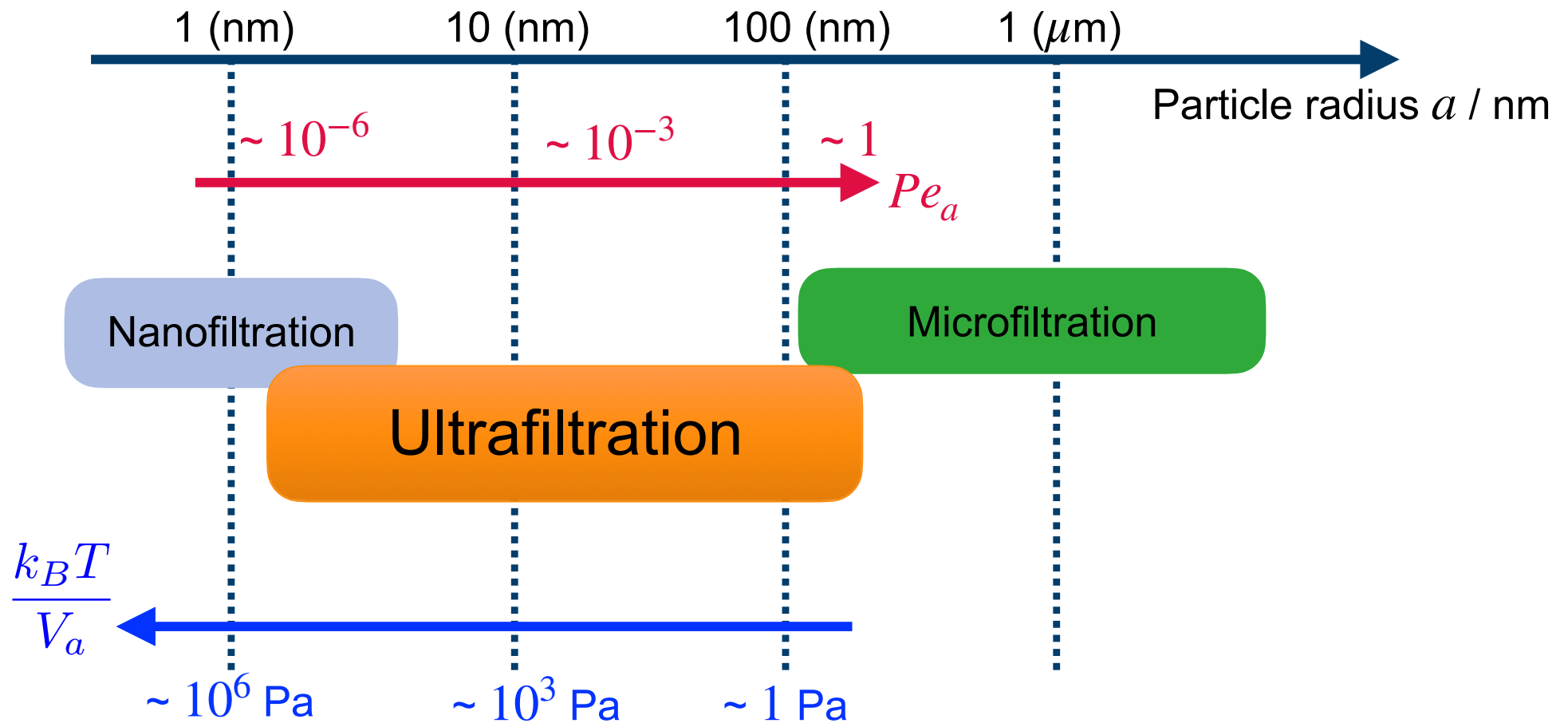


Mitglied der Helmholtz-Gemeinschaft

# Cross-flow filtration



# Filtration



$$\Pi = \frac{k_B T}{V_a} \phi Z(\phi)$$

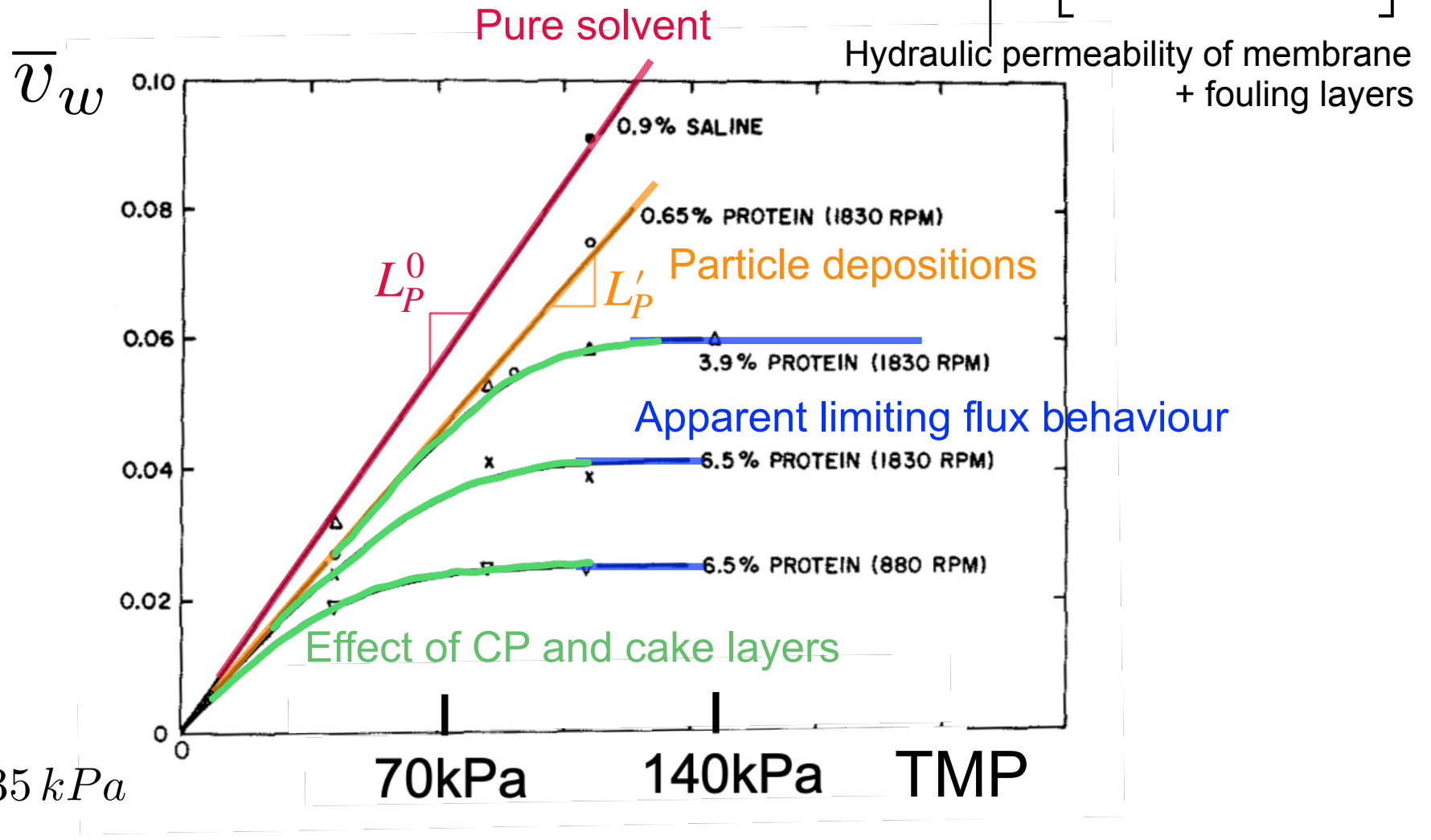
## Particle-contributed osmotic pressure

$$Pe_a = \frac{\dot{\gamma}^* a^2}{D_0} < 1$$

## Single-particle shear-Péclet number

# Steady-state flux vs TMP

Averaged Darcy-Starling equation:  $\bar{v}_w = L_P [TMP - \bar{\Pi}]$



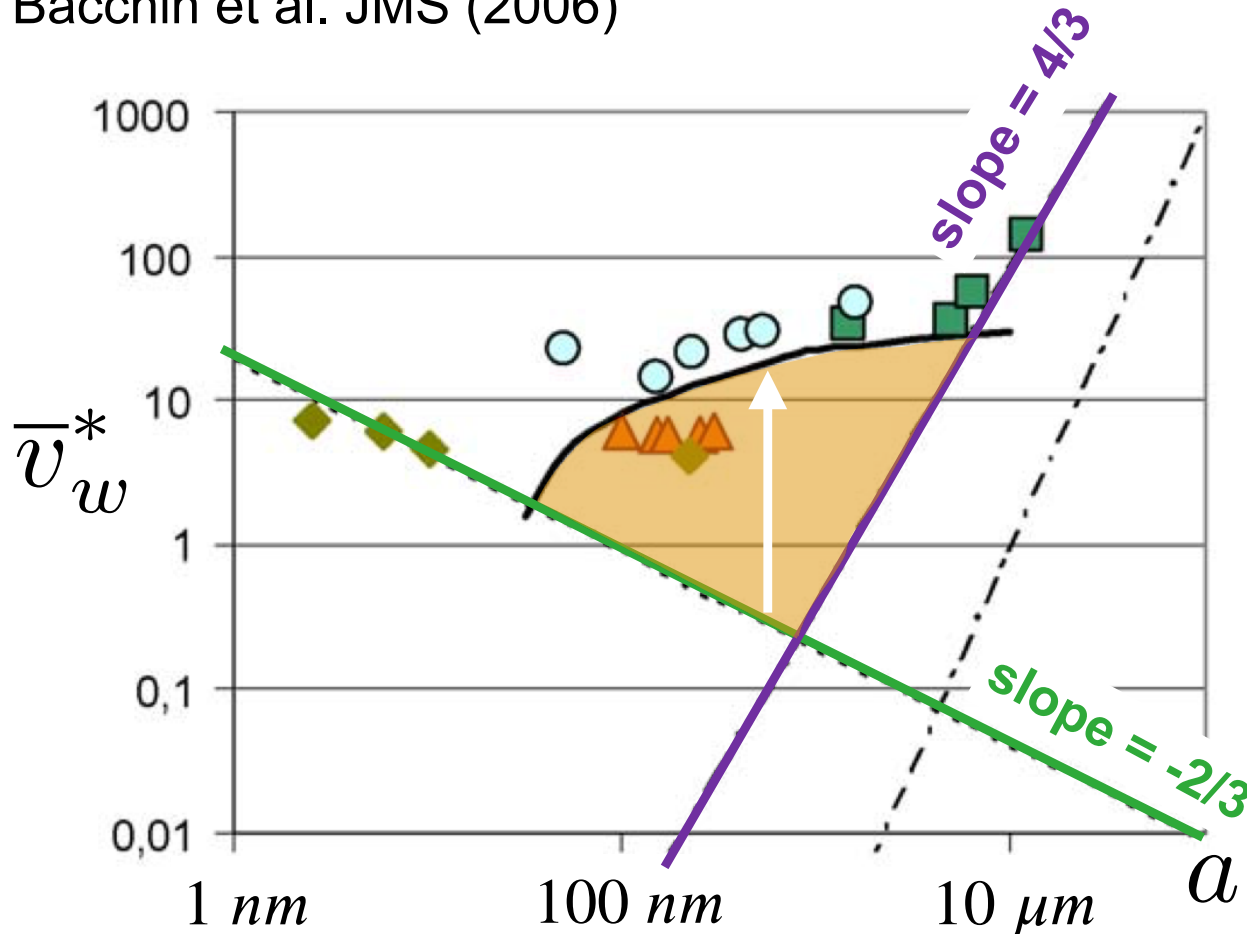
$$\frac{k_B T}{V_a} \approx 35 \text{ kPa}$$

(stirred-batch cell) Ultrafiltration of BSA protein solution (Blatt et al. (1970))

# Critical flux and flux paradox

Critical flux = the flux at the maximum TMP before cake is formed

Bacchin et al. JMS (2006)



Belfort et al. JMS (1994)

**Film+Gel layer model:**

$$\bar{v}_w^* = K \ln \frac{\phi_f}{\phi_b}$$

Mass transfer coefficient (K):

$$K = 0.81 \left( \frac{\dot{\gamma} D^2}{L} \right)^{1/3}$$

Effective diffusion coefficient  $D = ?$

1. Stokes-Einstein diffusion coefficient

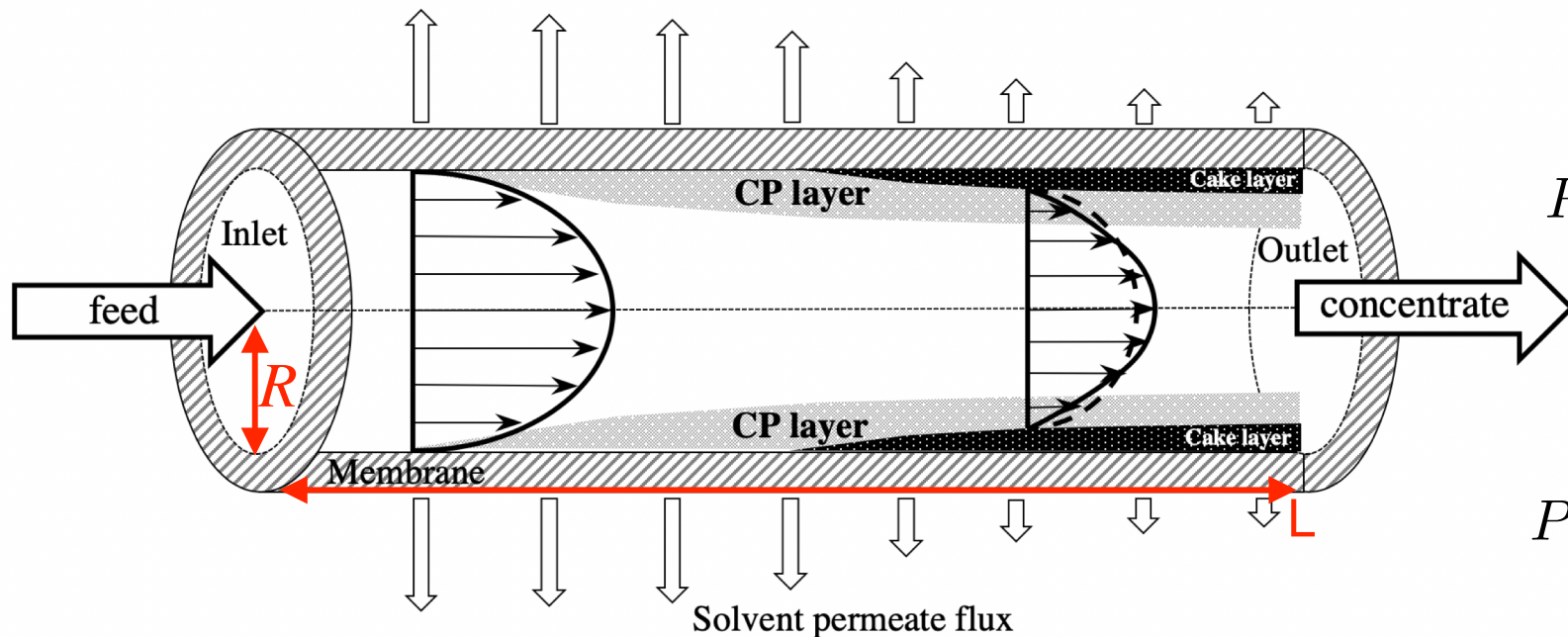
$$D = D_0 = \frac{k_B T}{6\pi\eta a} \Rightarrow \bar{v}_w^* \propto a^{-2/3}$$

2. Shear-induced self-diffusion coefficient

$$D = D_S = C \dot{\gamma} a^2 \Rightarrow \bar{v}_w^* \propto a^{4/3}$$

(Eckstein et al. JFM 1977; Leighton and Acrivos JFM 1987)

# Cross-flow ultrafiltration model



UF model

$$Re_a \ll 1$$

$$Pe_a = \frac{\dot{\gamma}^* a^2}{D_0} < 1$$

$$R/L \ll 1$$

$$Re_R R/L \ll 1$$

$$Pe_R = \frac{R \bar{v}_w}{D_0} \gg 1$$

## Suspension / membrane properties

Effective Stokes equation ( $\mathbf{v}, P$ )

Advection-diffusion equation ( $\phi$ )

Darcy-Starling equation ( $v_w$ )

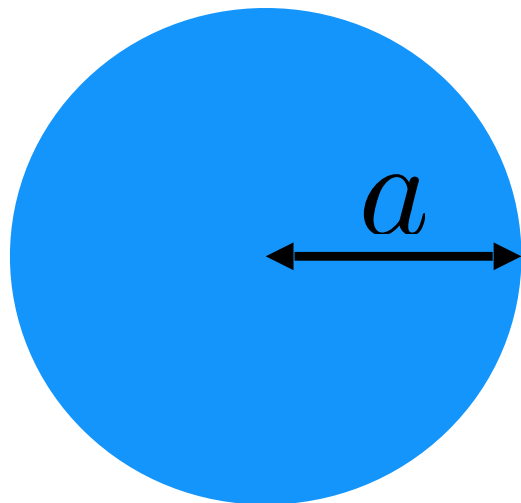
- Gradient diffusion coefficient:  $D(\phi)$
- Suspension viscosity:  $\eta(\phi)$
- Osmotic pressure:  $\Pi(\phi)$
- Hydraulic permeability:  $L_P^0$

➔ Semi-analytic matched asymptotic solution

For details, see Park and Nägele, JCP (2020)

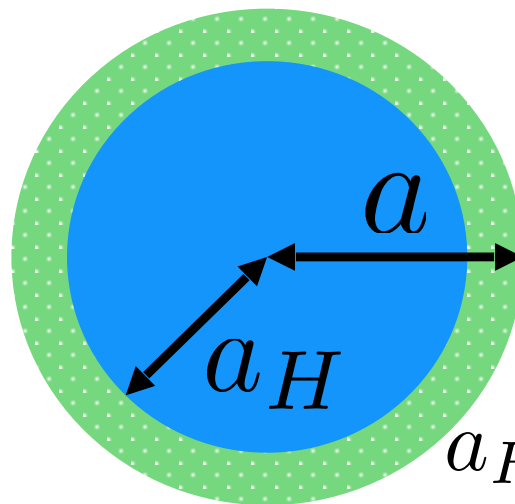
# Neutral Brownian particles

hard spheres (**HS**)



$a = 10, 23.82, 100$  (nm)

solvent-permeable  
hard spheres (**PHS**)

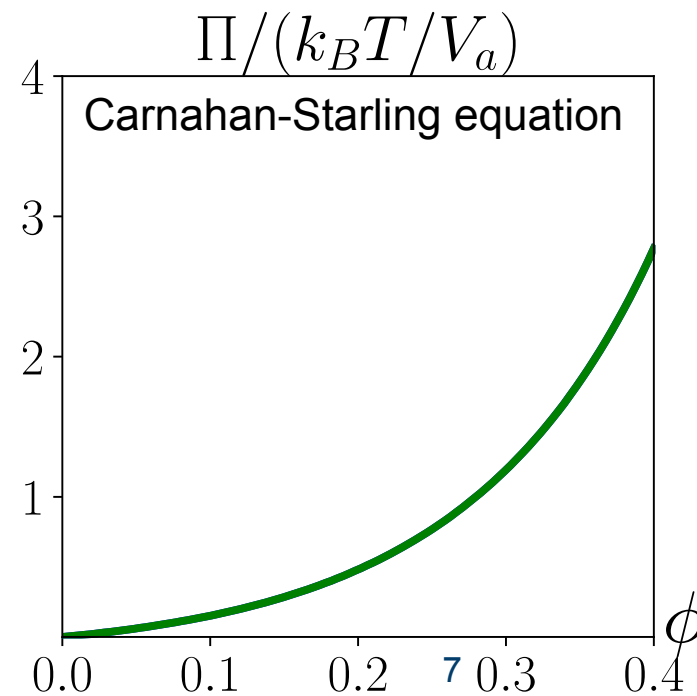
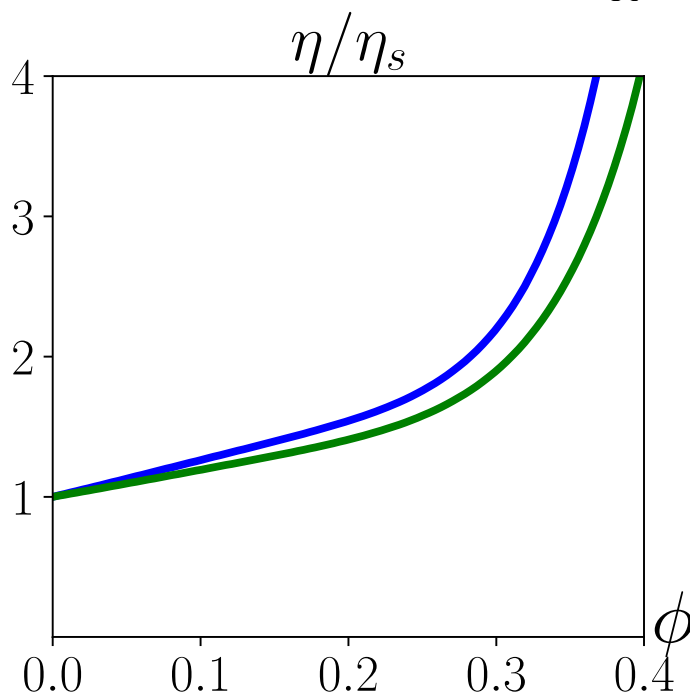
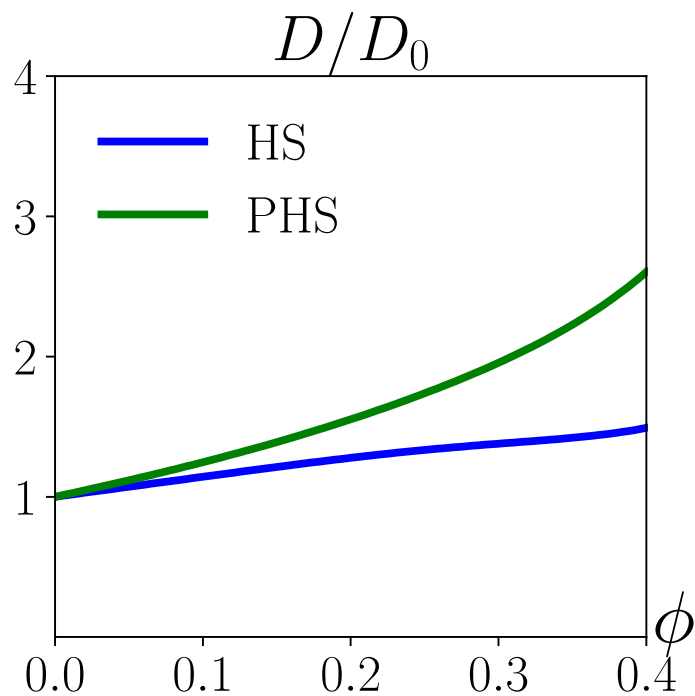


$\frac{a_H}{a} = 0.9$

$$\Pi(\phi) = \Pi^{CS}(\phi)$$

$$D(\phi) \approx D_0 \frac{K(\phi)}{S(\phi)}$$

$$\eta(\phi)$$

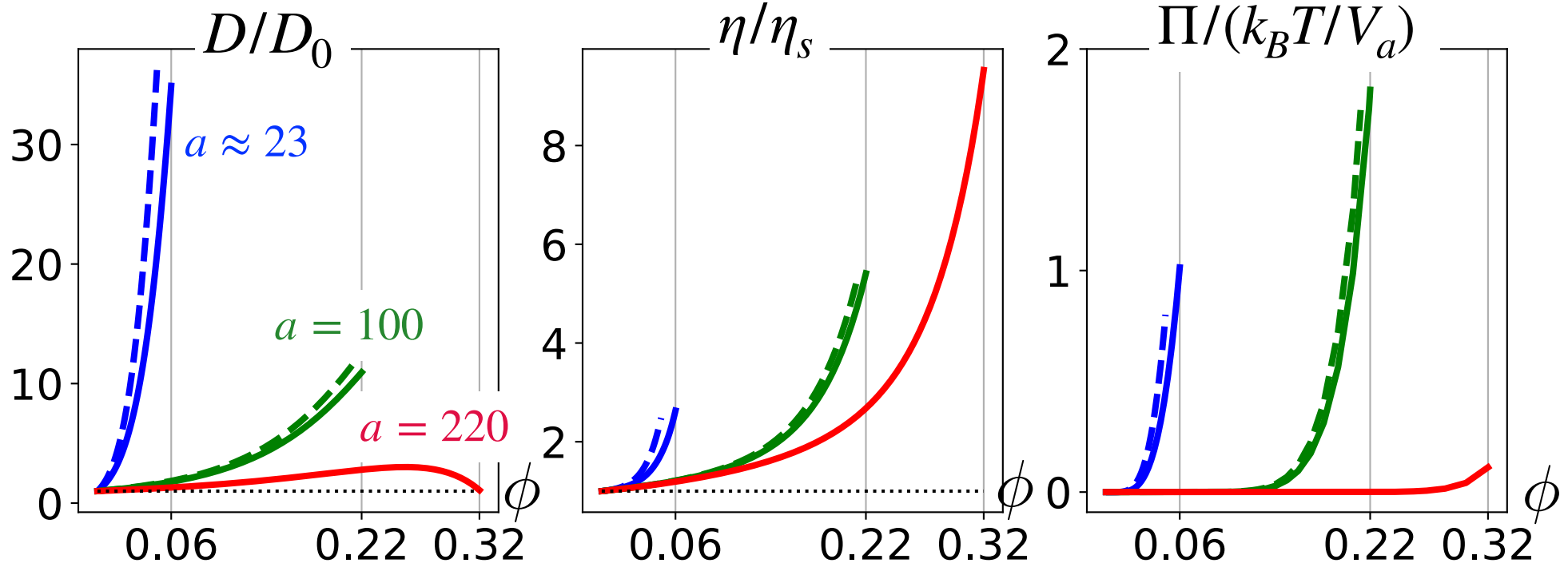
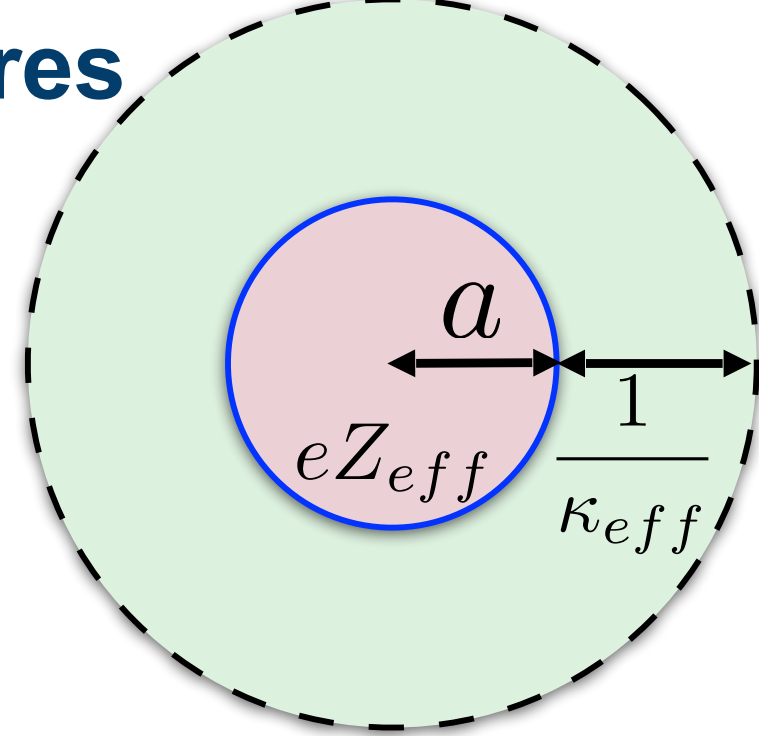


# Charge-stabilized hard spheres

One-component macroion fluid model

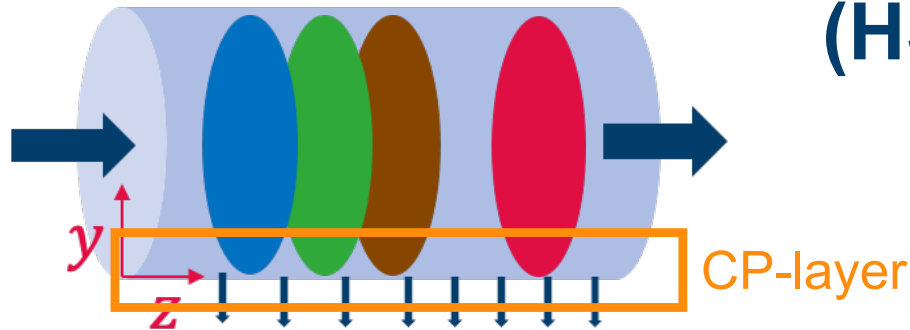
$$\Phi \propto Z_{eff}^2 \frac{\exp(-\kappa_{eff} r)}{r} \quad \text{for } r > 2a$$

- Determine  $Z_{bare}$  and  $\kappa$  for each size of particle
  - (-)  $Z_{bare}$  using constant surface charge density
  - (- -)  $Z_{bare}$  using constant surface potential
- Poisson-Boltzmann cell model  $Z_{bare}, \kappa \Rightarrow Z_{eff}, \kappa_{eff}$
- Ornstein-Zernike integral theory  $\Rightarrow g(r), S(q), \Pi$
- Hydrodynamic mean-field theory  $\Rightarrow D, \eta$  (Hansen-Verlet criterion of value 3.1  $\Rightarrow \phi_f$ )



# Result of CP-layer profile

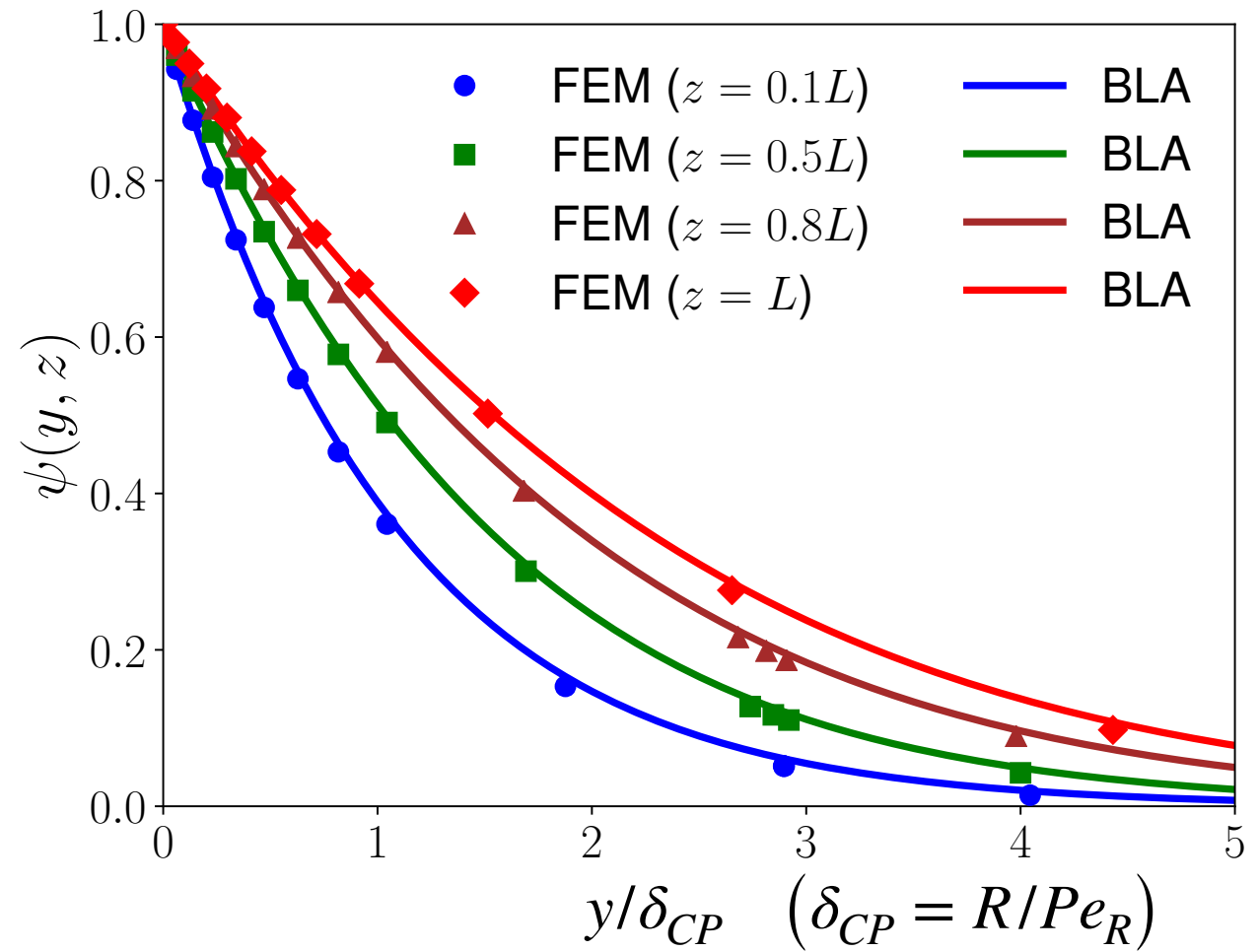
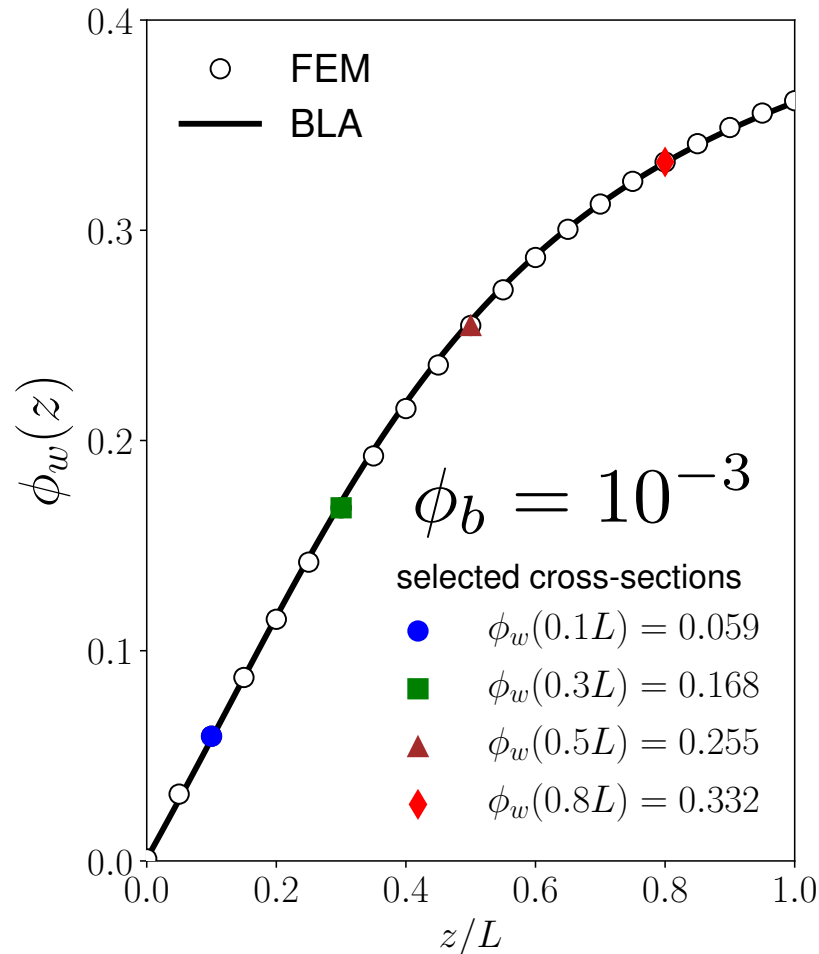
(HS:  $a=10$  nm,  $\text{TMP}=5$  kPa)



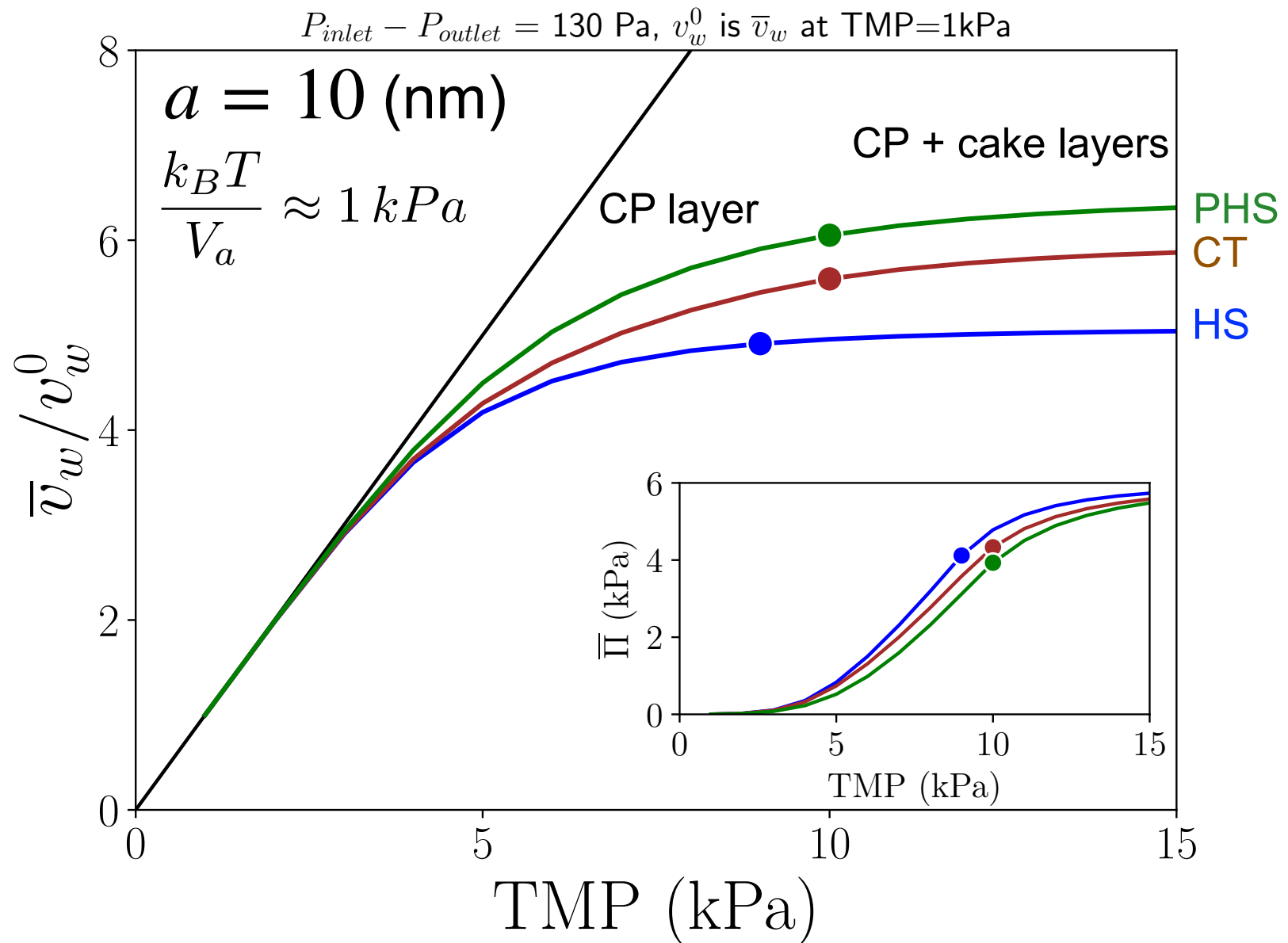
Radial particle distribution function

$$\psi(y, z) = \frac{\phi(y, z) - \phi_b}{\phi_w(z) - \phi_b}$$

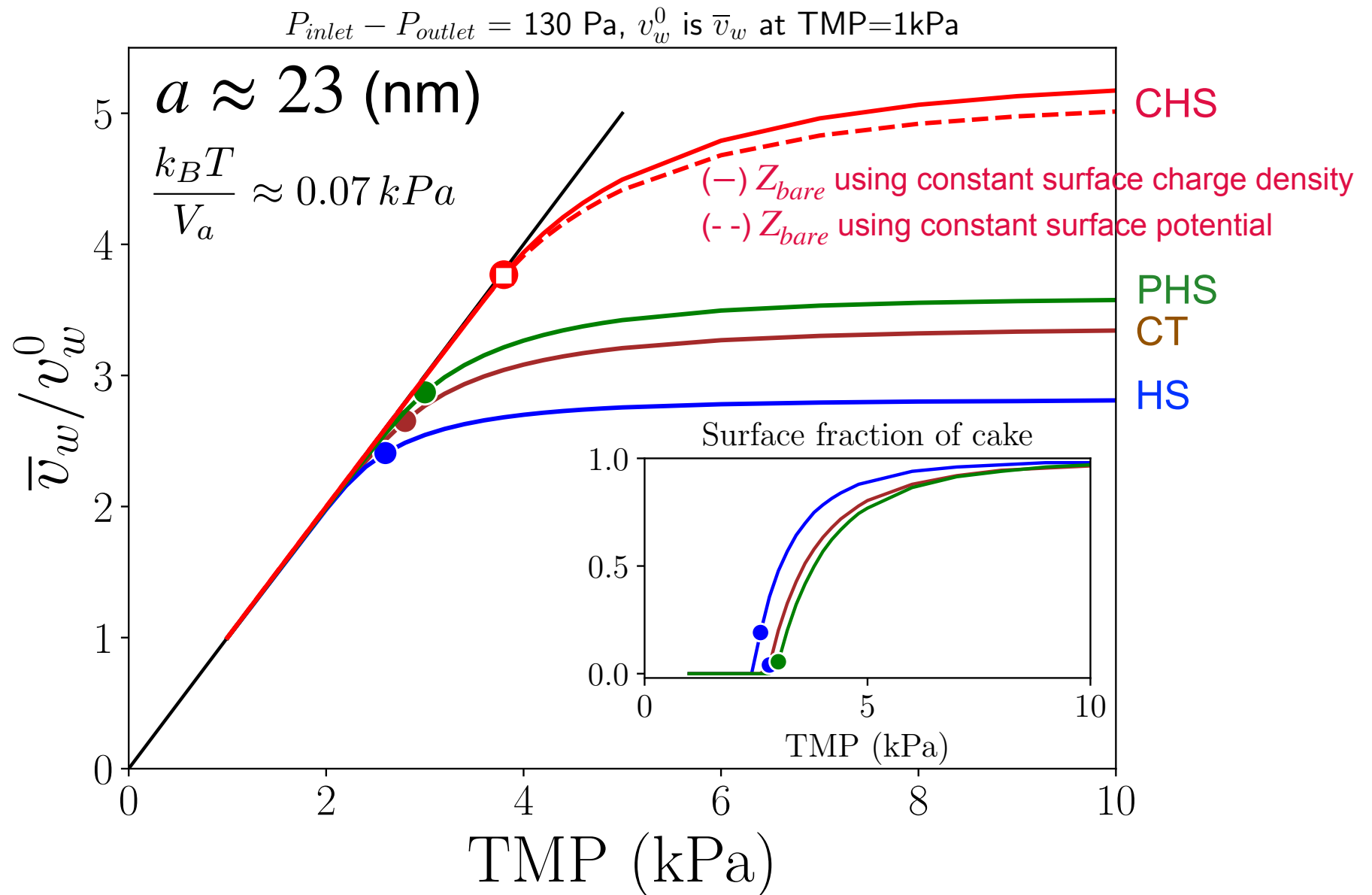
Particle concentration at wall



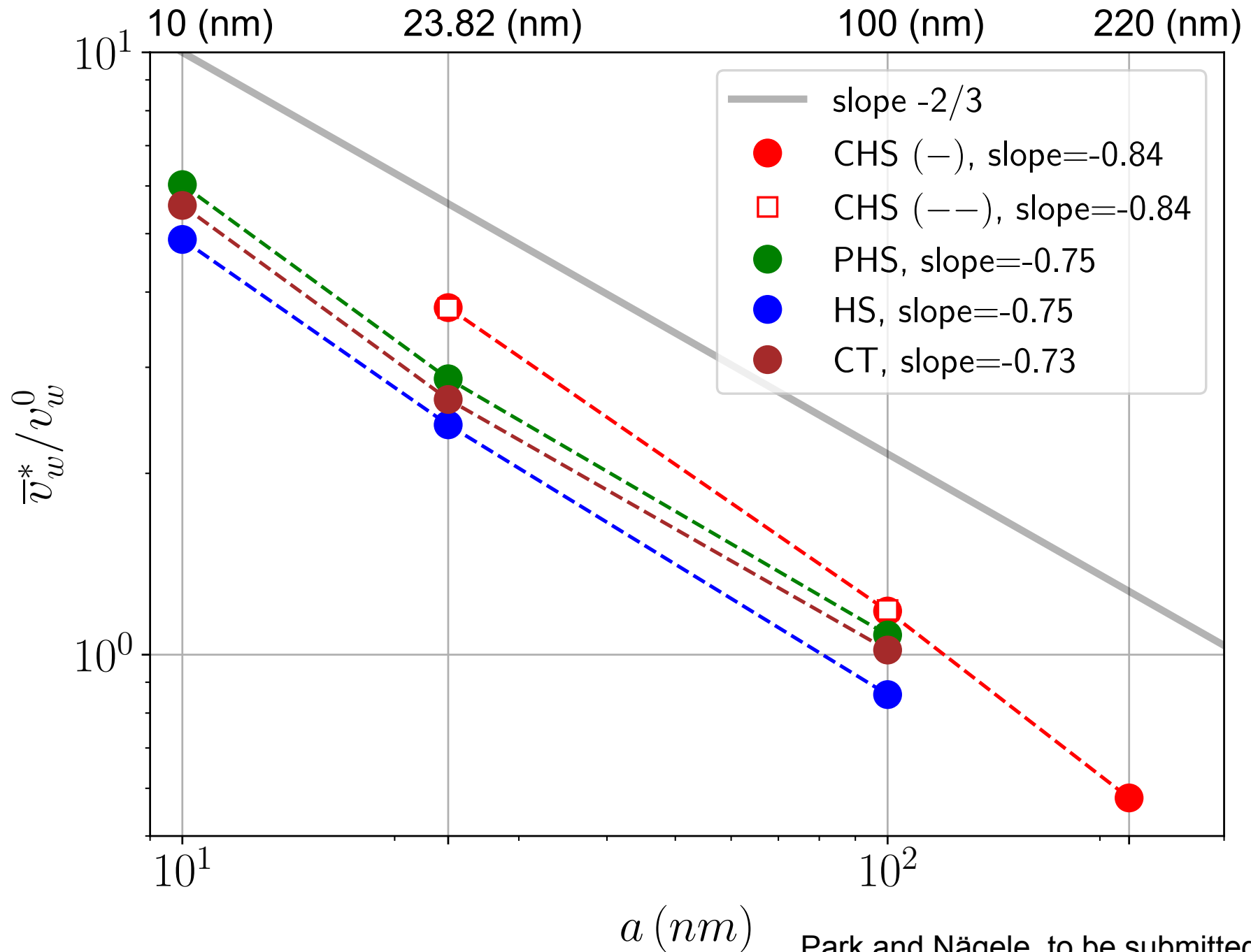
# Effect of CP and Cake layers (a=10nm)



# Various particle systems ( $a \approx 23\text{nm}$ )



# Critical flux vs. particle radius $a$



# Summary

1. Describe recent boundary layer analysis for cross-flow ultrafiltration (Park and Nägele, JCP, 2020) with a simplified cake layer model.
2. Suspension properties discussed for neutral Brownian hard spheres (HS), neutral solvent-permeable hard spheres (PHS), and charged hard spheres (CHS).
3. Effect of CP-layer on permeate flux strongly depends on size of particles. For larger particles ( $> 10\text{nm}$ ), cake formation is dominant factor for reduction of permeate flux.
4. Critical and apparent limiting flux concepts are introduced, and applied to current model. Both charged and neutral particle systems show similar power-law behavior for critical flux vs. particle size.
5. This indicates that mechanisms not considered in our model may be responsible for flux paradox, e.g.: pore clogging, adsorption layer, and shear-induced migration.

# Thank you

Jan Dhont

Gerhard Nägele

I am here

Mariano Brito



*Institute of Biological Information Processing (IBI-4), Forschungszentrum Jülich*

SFB985: Functional microgel and microgel system



Mitglied der Helmholtz-Gemeinschaft